



START HERE
GO FURTHER
FEDERAL STUDENT AID

Fiscal Operations Report and Application to Participate (FISAP) Desk Reference

2014-2015

U.S. Department of Education

July 2013

Table of Contents

FISAP Basics	1
Important Telephone Numbers.....	1
How to Access the Web Site	1
<i>What if I have forgotten my password?.....</i>	<i>1</i>
<i>What if my school is new to the campus-based programs?.....</i>	<i>1</i>
How to Register a Destination Point Administrator (DPA).....	2
When to Submit the FISAP	2
When to Submit the Reallocation Form	2
How to Obtain Compliance Certification.....	2
<i>Hard Copy Signature</i>	<i>2</i>
How to Request an Underuse of Funds Waiver	3
How to View FISAP Edits (Using Real-Time Data Validation).....	3
How to Access Help and Self-Service Features	5
How to View the Submission Log	5
How to Print from FISAP on the Web	6
<i>For Most Versions of Windows:.....</i>	<i>6</i>
<i>For Windows XP or Windows NT.....</i>	<i>8</i>
<i>When all else fails.....</i>	<i>8</i>
How to Define a Complete FISAP Submission	8
<i>Part I - Identification</i>	<i>10</i>
<i>Part II – Application</i>	<i>10</i>
<i>Part III – Perkins Loan Report</i>	<i>10</i>
<i>Part IV – FSEOG Report.....</i>	<i>10</i>
<i>Part V – Federal Work-Study.....</i>	<i>11</i>
<i>Part VI - –Program Summary.....</i>	<i>11</i>
Completing the FISAP.....	11
Step 1: Complete the FISAP data.....	11
Step 2: Complete the validation process.	12
Step 3: Submit the FISAP.	13
Step 4: Print the combined certification and signature pages.	14
Step 5: Mail the certification and signature pages.	14
Step 6: Submit edit corrections and Perkins Cash on Hand.	15
Completing the Reallocation Form (For Continuing Schools Only).....	15

FISAP Basics

Important Telephone Numbers

For questions about the FISAP and this desk reference, please call the Campus-Based Call Center between 8 a.m. and 8 p.m. (ET) Monday-Friday at

1-877-801-7168

The U.S. Department of Education is available to answer regulatory and policy questions from 9:00 a.m. to 5:00 p.m. (ET), Monday through Friday at

1-800-433-7327

How to Access the Web Site

You can access the FISAP on the Web at <https://cbfisap.ed.gov/>. Here you will complete and submit your FISAP, receive real-time validation edits, access your statement of account, view your electronic notifications, and more.

To access the FISAP, you must be registered. If you are already registered, click on the Welcome page “Login” button and enter your User ID and password. If you are not registered, click on the “Login” button, then click on the “Registration” link in the left navigation bar and enter the information requested. Once you have registered, you will receive a User ID by e-mail. At this time, an e-mail will be sent to your Destination Point Administrator for approval. Once approved, return to this site and click “Login.” Enter your User ID and the password you created when you registered. Click “Submit” to access eCB.

What if I have forgotten my password?

Click the “Login” button and click on “Forgot Password.” Re-enter your User ID. You will then be asked a challenge question; once you respond correctly, you will need to create a new password. In an hour, you should be able to access eCB.

What if my school is new to the campus-based programs?

If you do not possess a current Program Participation Agreement for the Title IV programs or are a first-time participant in the campus-based programs, you will need to apply for participation through the Department of Education's office of Case Management and Oversight (CMO). An online application to participate in federal student aid programs is available at www.eligcert.ed.gov.

FISAP Desk Reference

How to Register a Destination Point Administrator (DPA)

If your school is new to the campus-based programs, you need to assign someone as your school's DPA. The DPA must login to www.fsawebenroll.ed.gov and enroll in the Student Aid Internet Gateway (SAIG).

When to Submit the FISAP

You must complete and submit the FISAP before midnight on October 1, 2013 .

When to Submit the Reallocation Form

If your school plans to return unexpended campus-based program funds to the Department of Education (Department) or request supplemental Federal Work-Study (FWS) funds for community service jobs, you must complete and submit a Reallocation Form to the Department before midnight, **August 16, 2013**. See section "Completing the Reallocation Form (For Continuing Schools Only)" for a discussion of this form.

How to Obtain Compliance Certification

Hard Copy Signature

In Part I of the FISAP (Identifying Information, Certification and Warning), the Department has combined the anti-lobbying certification with the FISAP certification and signature page. You must print the combined certification and signature pages from the "Self-Service" option. (On the "Self-Service" screen, go to "Forms and Reports" and click on "Signature Pages." Have your school's CEO, President, Chancellor, or Director provide an ORIGINAL SIGNATURE. This signature provides for compliance with certification requirements under 34 CFR Part 82, "New Restrictions on Lobbying." The certification shall be treated as a material representation of fact that the Department will rely on when it determines to award the covered transaction, grant, or cooperative agreement.

You must mail your combined certification and signature pages to the address below. The envelope must be postmarked by October 1, 2013.

**FISAP Administrator
3130 Fairview Park Drive
Suite 800
Falls Church, VA 22042**

FISAP Desk Reference

For more information about the certification and signature pages, see section “Completing the FISAP,” Step 4.

How to Request an Underuse of Funds Waiver

If your school has reported on the FISAP an unexpended amount of 10 percent or more of its total Federal Supplemental Educational Opportunity Grant (FSEOG) or Federal Work-Study (FWS) allocation for the 2012-2013 award year or has returned 10 percent or more on the Reallocation Form, you will receive a reduction of that program’s 2013-2015 allocation equal to the returned 2012-2013 funds.

You may request a waiver of the underuse of funds penalty by selecting the “yes” button in Part II, Section C, Field 6. Then select “Additional Info” from the left navigation bar. Select “02090” from the Edit # drop down box. There, you must explain the circumstances that caused the underuse of your allocation. The waiver request deadline is **February 7, 2014**.

A review panel will consider each waiver request and will respond to schools via e-mail. The e-mail directs schools to the eCB Web site so they can view the results of their requests on the “Self-Service” screen. Scroll to the “Underuse Penalty Waivers” bullet and select the “2014-2015” link.

How to View FISAP Edits (Using Real-Time Data Validation)

The validation feature is designed to provide easy and immediate validation of FISAP data. The system generates edits and error messages that you may correct, or you may explain on the “Additional Information” screen why the edit/error messages are not errors. You need not address all validation edits before submitting the FISAP (except for certain edits—see below.) However, because any incorrect or missing data might affect your school’s funding, you should correct or explain all validation edits no later than **December 13, 2013**, when edit corrections are due. There will be no edits accepted after December 13 without prior approval from the Department.

There are three ways to have data validated. Two of these are found under the “Validation” link in the left navigation bar on the Web site:

- **Validate** – Selecting this link will validate data in the FISAP up to the section where you are currently located.
- **Validate All** – Selecting this link will validate your entire FISAP. You should complete your FISAP before selecting this link.

FISAP Desk Reference

The third way to validate is by selecting the “Submit” link that appears below the “Validation” link in the left navigation bar. (To see the “Submit” link, you cannot currently have selected “Validation.”) Selecting “Submit” will automatically validate your entire FISAP and, unlike “Validate All,” will allow you to proceed to submission. (This is the first step in the FISAP submission process.)

Some validation edits must be corrected before your submission will be accepted.

These edits are 01010, 01020, 01040, 03000, 03010, 04200, 04630, 04640, 08130, 08140, 09172, and 09175. For details on these edits, see section “Completing the FISAP,” Steps 2 and 3:

Note: While you are in validation, you can access only those FISAP sections containing edit messages. If you need to access other areas of the Web site, you must select the “Exit Validation” sub-link under the Validation link on the left navigation bar.

After you select one of the links mentioned above, any applicable validation edit/error numbers and edit/error messages will be displayed on a validation worksheet. The worksheet contains a “Print Friendly Version” link at the top of the page so you can print a copy for your records.

As mentioned above, you will be able to either explain or correct your validation edits/errors. Here is how it works:

- **Correcting Errors** – A “Fix Error” button is located to the right of the validation edit/error message. Clicking the button will take you to the FISAP page where the error occurs. If the error involves more than one field on separate pages, the system will take you to the last page affected by the error. The error message text will describe other fields affected by the error, which you might need to adjust.
- **Logging Errors** – You can “log” certain items that appear to be errors by explaining why they are not errors. A “Log” check box is located next to many validation edit messages. You may check the edits you want to log and click “Log” at the bottom of the left side of the screen. This action will display the “Additional Information” screen. Select the edit number from the drop down box and provide your explanation in the “Notes” box. If the explanation is sufficient, you will not have to correct those items. Note that your explanation **does not remove an edit message** but allows ED to decide if you should be contacted about your explanation.

For additional information on validation, see section “Completing the FISAP,” Steps 2 and 3.

FISAP Desk Reference

How to Access Help and Self-Service Features

The Help features in FISAP on the Web provide a link to the FISAP instructions for the appropriate year. They are found at the bottom left side of most pages of the Web site and in the top navigation bar of each FISAP screen.

The “Self-Service” feature found in the top navigation bar is designed to provide easy and immediate access to your school’s campus-based information via a secure Internet connection. Within the “Self-Service” feature, you can access and print your school’s information, including the following:

- The 2014-2015 FISAP Form
 - ❖ Submitted Version
 - ❖ Working Version
 - ❖ Combined certification and signature pages
 - ❖ Lobbying Form
- FISAP forms going back to the 2008-2009 award year
- Reallocation Forms going back to the 2008-2009 award year
- Campus-based notifications going back to the 2007-2008 award year
- Submission Log(s) as of the selected year (Note: The year the user can select depends on what year(s) the user has been granted access to.)
- Statements of Account going back to the 2007-2008 award year
- Access to Electronic Announcements and Dear Colleague Letters through a link to the Information for Financial Aid Professionals Web site

To make the site accessible to all users, some forms and reports are viewable via Adobe Acrobat Reader 7.0 or higher. You can download a free copy of this software at www.adobe.com, using the link provided on the FISAP on the Web.

How to View the Submission Log

Submissions acknowledgments are located in the “Self-Service” section; scroll to “Acknowledgments” and select “Submission Log.” You will find tracking information for FISAP on the Web submissions.

How to Print from FISAP on the Web

There are several factors that can cause printing issues – pop-up blockers and firewalls – each system is unique. These are difficult to troubleshoot, but we’ve listed below the most common occurrences and the fixes we’ve found:

In general, make sure you have the latest version of Adobe Acrobat Reader and the latest version of Microsoft Internet Explorer. You can access the software directly at www.adobe.com/products/acrobat/readstep2.html. After the download and installation, please attempt to print the PDF again. If you are still experiencing difficulties, continue to the step below.

For Most Versions of Windows:

Check the Internet Explorer Compatibility View Settings

- From the eCB Self-service page, select “Tools” from the Internet Explorer (IE) menu at the top of the page.
 - If you do not have the Menu Bar turned on, right-click on any blank grey space on the IE tool bar
 - Select “Menu Bar”

- Under “Tools,” select “Compatibility View Settings”
- Select the option “Display all websites in Compatibility View”
- Select “Close”
- Close Internet Explorer
- Re-open IE
- Log back into eCB (<https://cbfisap.ed.gov>)
- At the Self-Service page, select the PDF again
 - **If the text displays, but no data**, check to see if there is a yellow or pink banner across the top of the page
 - Select “Tools” from the IE Menu
 - Select Options
 - Select “Trust this site”
 - **If an error is displayed about the security certificate**, select “Continue”

Use the ALT key

- While logged into eCB –

FISAP Desk Reference

- Go to the Self-Service page
- Hold the **ALT** key down and select and “Submitted Version” or “Working Version All Parts” link
- Release the **Alt** key when the FISAP is fully displayed
 - When the system loads the FISAP, a security window may display a message that asks if you want to “accept data from this site this one time only or add it to approved sites”
 - Select “Trust this site one time only”

In Internet Explorer – Allow saving of encrypted pages to disk

- Select “Tools”
- Select “Internet Options”
- Select “Advanced” tab
- Scroll to the “Security” section
- Uncheck the option “do not save encrypted pages to disk”
- Close Internet Explorer
- Re-open IE
- Log back into eCB (<https://cbfisap.ed.gov>)
- At the Self-Service page, try to print the PDF again

In Internet Explorer – Delete Files, Delete Cookies, Clear History

- Select “Tools”
- Select “Internet Options”
- Select “General” tab
- Select “Delete files”
- Select “Delete Cookies”
- Select “Clear History”
- Select “Settings”
- Set “Temporary Internet Files Folder” to 320 MB
- Select OK
- Close Internet Explorer
- Re-open IE
- Log back into eCB (<https://cbfisap.ed.gov>)
- At the Self-Service page, try to print the PDF again

In Internet Explorer – Lower Privacy Level

- Select “tools”
- Select “Internet Options”
- Select “Privacy” tab
- Move privacy level slide bar to “Accept All Cookies”
- Apply changes

FISAP Desk Reference

- Close Internet Explorer
- Re-open IE
- Log back into eCB (<https://cbfisap.ed.gov>)
- At the Self-Service page, try to print the PDF again

For Windows XP or Windows NT

Check the Option for the “Extension FDF and File Type Adobe Acrobat Forms”

- Go to “My Computer.”
- Select the “Tools” or “View” menu.
- Select “Folder Options” or “Options.”
- Select the “File Types” tab.
- Select the option for “Extension FDF and File Type Adobe Acrobat Forms Document.”
- Select the “Edit” or “Advanced” tab, whichever is applicable to the software.
- Check that the “Confirm Open After Download” option is NOT selected.
- Click the “OK” button.

When all else fails...

- Contact your institution’s IT staff to ensure local computer or network settings are not the issue.
- If you still have questions, please call the Campus-Based Call Center at 1-877-7168 or email your questions to CBFOB@ed.gov.

How to Define a Complete FISAP Submission

A complete FISAP submission to the Department means you have

- Received a real-time acknowledgment of your completed FISAP. After you have clicked the “Submit” button and followed the steps to have your FISAP data submitted, you will receive a message on your screen showing the date and time of acceptance. FISAP submissions can also be verified by going to “Self-Service”

FISAP Desk Reference

and clicking the “Submission Log” link under the “Acknowledgments” heading.
AND

- Provided an electronic or hardcopy signature to the complete FISAP form and the Lobbying certification form (if applicable)
 - Hardcopy Signature
 - Mailed to the Department completed combined certification and signature pages (printed from the FISAP on the Web) containing the original signature of your school’s CEO, President, Chancellor, or Director. See below for the mailing address. Your FISAP certification and signature pages **must be postmarked** no later than October 1, 2013. (For information on printing these documents see section “Completing the FISAP,” Step 4)

As mentioned earlier, your FISAP must also be received by October 1, 2013. If you have any questions about preparing your FISAP, contact the Campus-Based Call Center at 1-877-801-7168 or e-mail your questions to CBFOB@ed.gov.

Note: A “complete FISAP submission” does not necessarily mean you must complete the entire FISAP. For example, your school might not participate in all three programs. Also, you might *only* be applying for funds for 2014-2015 or *only* reporting on campus-based program funds expended in 2012-2013.

The FISAP is divided into three main parts: Identifying Information, Certification and Warning; the Application to Participate; and the Fiscal Operations Report.

FISAP Desk Reference

Part I - Identification

All schools must complete this part. You are required to send a hard copy of the combined certification and signature pages, containing Part I information and an original signature, to

**FISAP Administrator
3130 Fairview Park Drive
Suite 800
Falls Church, VA 22042**

Part II – Application

You must complete Part II if you want to request funds for your school for the 2014-2015 award year.

Part III – Perkins Loan Report

You must complete Part III if your school is a continuing participant in the Federal Perkins Loan Program, or your school is liquidating its Federal Perkins Loan Fund.

Note: Even though your school did not receive a Federal Capital Contribution for the 2012-2013 award year, you must complete Part III to report all collections and expenditures if your school made loans from its Perkins Fund. If your school has decided to end its participation in Perkins, your school must continue to report its Perkins Fund activity until your school officially liquidates. Please refer to guidance provided in the [October 5, 2012 Electronic Announcement, Subject: Federal Perkins Loan Portfolio Liquidation and Assignment Procedures](#) available on the IFAP Web site at <http://ifap.ed.gov> or contact the Campus-Based Call Center at 877-801-7168 or CBFOB@ed.gov.

Part IV – FSEOG Report

You must complete Part IV if your school received FSEOG federal funds for 2012-2013.

FISAP Desk Reference

Part V – Federal Work-Study

You must complete Part V if your school received FWS funds for the 2012-2013 award year.

Part VI – Program Summary

You must complete Part VI, Section A, if you participated in the Federal Perkins Loan, FSEOG, or FWS program in the 2012-2013 award year. You must complete Part VI, Section B if you are claiming an administrative cost allowance for the 2012-2013 award year.

Section A asks you to identify aid recipients by type of student (for example, dependent and independent undergraduates) and by income category. You must enter the amount spent in each category of aid recipient.

Section B assists you in calculating the administrative cost allowance.

Completing the FISAP

Step 1: Complete the FISAP data.

1. Read the FISAP Instructions to make sure you complete data fields correctly.
2. If multiple school files are set up, choose the desired school by going to “Setup” and then to “Change Schools.”
3. Select the FISAP section to be completed by clicking on the appropriate part of the menu on the left side of the screen, such as “Application.”
4. Enter data or data changes in the fields on each page. To switch between pages, select a new part number from the left navigation bar, which is present throughout the FISAP Web site.
5. Upon completing each section, select the “Save” button. The page will refresh and all data on the screen will be saved.

FISAP Desk Reference

6. Respond to edits or errors (if applicable) by changing data or providing answers. Use the “Additional Information” (“Additional Info”) tab to explain errors or to submit any additional information you want to include with this application. First select the edit number (if any) and then press “Enter.” Your cursor then moves to the “Notes” field, where you can begin entering information. The FISAP on the Web automatically fills in the “Mod. User,” “Mod. Date,” and “Mod. Time” fields.

Step 2: Complete the validation process.

The “Validate” option provides quick access to available validation data for the current FISAP. The school name and OPEID Number are both apparent on the page.

There are four columns in the validation report:

- “Error” lists the unique error number that was encountered.
- “Validation” presents the details of the error, including where it occurred and how to fix it.
- “Fix Error” is a button that returns the user to the field(s) affected and allows for an opportunity to correct mistakes.
- “Log” displays a box that you can check to allow you to enter an explanation why the “error” is not really a mistake.

The validation process also begins upon selecting the “Submit” link, which takes the user to the “FISAP – Validation” page.

FISAP Desk Reference

Step 3: Submit the FISAP.

Click “Submit” to transmit your FISAP. Note there are twelve critical errors that, if not corrected, will halt the submission process:

Edit Code	Error Message	Field Name
01010	You must complete the Signatures section in Part I, Field 8 before you are allowed to Submit. Please fill in this section and then re-run the Submit process.	Part I, Section B, Field 8, CEO Date Signed
01020	You must complete the FAA section in Part I, Field 6 before you are allowed to Submit. Please fill in this section and then re-run the Submit process.	Part I, Section A, Field 6, FAA E-mail Address
01040	You must complete the CEO Title in Part I, Field 8 before you are allowed to Submit. Please fill in this section and then re-run the Submit process.	Part I, Section B, Field 8, CEO Title
03000	In Part II, Section D, you have selected your school as “Traditional,” but you have data in both the traditional and non-traditional enrollment grids. Please remove data in the non-traditional enrollment grid, or change your school’s calendar to “Non-traditional.” You will NOT be able to submit your data until this is corrected.	Part II, Section D, “My school’s calendar is: __Traditional __Non-Traditional.”
03010	In Part II, Section D, you have selected your school as “Non-Traditional,” but you have data in both the traditional and non-traditional enrollment grids. Please remove data in the traditional grid, or change your school’s calendar to “Traditional.” You will NOT be able to submit your data until this is corrected.	Part II, Section D, “My school’s calendar is: __Traditional __Non-Traditional.”
04200	In Part III, Section A, Field 58(c) must equal Field 58(d). You will NOT be able to submit your data until this is corrected.	Part III, Section A, fields 58(c) and 58(d), Total debits and credits
04630	WARNING! In Part III, Section A, the data in Field 56(c) has changed since last year. You must explain the change on the “Additional Information” screen in enough detail for the Department to assess the entry’s validity. You will NOT be able to submit your data until this is corrected.	Part III, Section A, Field 56(c), Balancing Adjustments (Debits)
04640	WARNING! In Part III, Section A, the data in Field 57(d) has changed since last year. You must explain the change on the “Additional Information” screen in enough	Part III, Section A, Field 57(d), Balancing Adjustments (Credits)

FISAP Desk Reference

	detail for the Department to assess the entry's validity. You will NOT be able to submit your data until this is corrected.	
08130	In Part IV, Section E, Field 17 cannot be negative. You will NOT be able to submit your data until this is corrected.	Part IV, Section E, Field 17, Expended FSEOG Authorization
08140	In Part IV, Section E, Field 18 cannot be negative. You will NOT be able to submit your data until this is corrected.	Part IV, Section E, Field 18, Unexpended FSEOG Authorization
09172	In Part V, Section E, Field 18 cannot be negative. You will NOT be able to submit your data until this is corrected.	Part V, Section E, Field 18, Expended FWS Authorization
09175	In Part V, Section E, Field 19 cannot be negative. You will NOT be able to submit your data until this is corrected.	Part V, Section E, Field 19, Unexpended FWS Authorization

Step 4: Print the combined certification and signature pages.

You must print the combined certification and signature pages for your FISAP submission. Select "Self-Service" from the top navigation bar. Then select "Signature Pages" under the "Forms and Reports" header. The form is presented in Adobe PDF format. You may then select from the available print options.

To print the Lobbying Form, select "Self-Service" from the top navigation bar. Then select "Lobbying Form" under the "Forms and Reports" header. The form is presented in Adobe PDF format. You may then select from the available print options.

Step 5: Mail the certification and signature pages.

Mail these pages with the required original signature to

**FISAP Administrator
3130 Fairview Park Drive
Suite 800
Falls Church, VA 22042**

Step 6: Submit edit corrections and Perkins Cash on Hand.

All schools are not required to submit corrections, but if yours is a Perkins Loan school, in Part III, Section A, Field 1.2, you will need to update your “Cash on Hand” figure as of October 31, 2013. Schools can submit corrections to their original FISAP submissions until December 13, 2013.

Completing the Reallocation Form (For Continuing Schools Only)

If you have unexpended 2012-2013 FSEOG and/or FWS, you will need to complete Section A of the Reallocation Form. If you want to request supplemental FWS funds for community service jobs for the 2013-2014 award year, you must complete Section B.

To set up your Reallocation Form:

1. Go to the “Setup” tab on the top navigation bar and select the “Reallocation Form” link from the “Setup” window. Note: After August 16, 2013, you will not be able to complete any items on the form.
2. Access the form’s instructions through the “Instructions” link located below your OPEID Number near the top of the page.
3. Note that the following fields are pre-populated on the form: Name of School, Address of School, City, State, Zip, and OPEID Number. Below these displayed fields, enter reallocation information. At the bottom of the page are “Save,” “Submit,” and “Help” buttons.
4. Complete Section A and Section B (if necessary), and then begin validation by selecting “Submit” at the bottom of the page.
5. Unexpended program funds cannot be greater than program award amounts; therefore, if any of the following three critical errors occurs, the Reallocation Form cannot be submitted:
 - Error 00200—the amount in Field 1 is greater than the 2012-2013 original plus supplemental FSEOG allocation.
 - Error 00210—the amount in Field 2 is greater than the 2012-2013 FWS original plus supplemental allocation.

FISAP Desk Reference

- Error 00220—the amount in Field 3 is greater than the 2012-2013 Perkins Loan FCC original plus supplemental allocation. (You did not receive any FCC because Congress did not authorize funds.)

If an error occurs, check your figures with your Chief Fiscal Officer and correct the mistake.

6. Select the “Continue to Submit” button.
7. The system displays a certification box where you must indicate that you have the authority to submit the Reallocation Form. Click “Submit” if you agree to the terms in the certification. If you do not agree, click the “Exit Validation” link.
8. The Reallocation Form submission is then complete and officially identified in the database, which logs the submission and the name of the school that submitted the Reallocation Form. A confirmation page is displayed, showing the date and time of submittal and a recommendation that you print the page.

If you have any questions about the FISAP and/or the reallocation process, please call the Campus-Based Call Center at 1-877-801-7168 or e-mail your questions to CBFOB@ed.gov.